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PTO/SB/30 (10/2001)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

<i>Application Number</i>	09/634,435
<i>Filing Date</i>	August 8, 2000
<i>First Named Inventor</i>	Hu
<i>Art Unit</i>	2165
<i>Examiner Name</i>	Garg, Y.
<i>Attorney Docket Number</i>	JA999802

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8,

**1. Submission required under 37 CFR §1.114**

a.  Previously submitted

i.  Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
iii.  Other \_\_\_\_\_

b.  Enclosed

i.  Amendment/Reply      iii.  Information Disclosure Statement (IDS)  
ii.  Affidavit(s)/Declaration(s)      iv.  Other      Preliminary Amendment

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**2. Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR§1.17(l) required)

b.  Other \_\_\_\_\_

**3. Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to

Deposit Account No. 09/0469 (IBM) (Filing Fee \$740.00)

i.  RCE fee required under 37 CFR §1.17(e)      ii.  Extension of time fee (37 CFR §§1.136 and 1.17)      iii.  Other \_\_\_\_\_

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b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)	Michael F. Hoffman	Registration No. (Attorney / Agent)	40,019
Signature	<u>Michael F. Hoffman</u>	Date	March 25, 2002

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

Name (Print / Type)	Dorothea Rubbone
Signature	<u>Dorothea Rubbone</u>
Date	March 25, 2002

Burdens Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
JA999802

In Re Application Of: Shiann-Jong Hu

Serial No.  
09/634,435

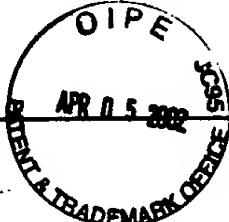
Filing Date  
August 8, 2000

Examiner  
Garg, Y.

Group Art Unit  
2165

Title: METHOD AND SYSTEM FOR INTEGRATING CORE BANKING BUSINESS PROCESSES

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Request for Continued Examination (RCE) Transmittal  
Preliminary Amendment in 8 pages

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in the above identified application.

- No additional fee is required.
- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
  - Charge the amount of \_\_\_\_\_
  - Credit any overpayment.
  - Charge any additional fee required.

Signature

Dated: March 25, 2002

Michael F. Hoffman  
Reg. No. 40,019  
Hoffman, Warnick & D'Alessandro  
Three E-Comm Square  
Albany, New York 12207

I certify that this document and fee is being deposited on March 25, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Dorothea Rubbone

Typed or Printed Name of Person Mailing Correspondence

CC: